

# Agenda – National Assembly for Wales Commission Audit and Risk Assurance Committee

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Meeting Venue:

Conference Room 4B – Tŷ Hywel

Meeting date: 11 February 2019

Meeting time: 10.00

For further information contact:

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Committee Clerk

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## 1 Introductions, apologies and declaration of interests

## 2 Minutes of 26 November, actions and matters arising

(Pages 1 – 14)

Attached Documents:

ACARAC (01–19) Paper 1 Minutes Nov

ACARAC (01–19) Paper 2 Actions

## 3 Internal Audit Update Report

(Pages 15 – 23)

Attached Documents:

ACARAC (01–19) Paper 3 Internal Audit Update

## 4 Internal Audit Reports

(Pages 24 – 67)

Attached Documents:

ACARAC (01–19) Paper 4 IA Scheme of Delegation

ACARAC (01–19) Paper 5 IA GDPR Compliance Follow Up

ACARAC (01–19) Paper 6 IA Payroll

## 5 TIAA – External Audit partner – oral item



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Cymru

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Wales

## **6 Update from WAO**

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Attached Documents:

ACARAC (01–19) Paper 7 WAO update

## **7 Issues Management**

(Pages 69 – 72)

Attached Documents:

ACARAC (01–19) Paper 8 Issue Management

## **8 Corporate Risks Report**

(Pages 73 – 93)

Attached Documents:

ACARAC (01–19) Paper 9 Corporate Risk

ACARAC (01–19) Paper 9\_Annex A Summary Corporate Risk Register

ACARAC (01–19) Paper 9\_Annex B Corporate Risk plotted

## **9 Critical examination of one identified or emerging risk**

(Pages 94 – 103)

Attached Documents:

ACARAC (01–19) Paper 10 Dignity and Respect Risk

## **10 Members Expense Management System Replacement project**

(Pages 104 – 106)

Attached Documents:

ACARAC (01–19) Paper 11 Update Members Expense Management System

## **11 Review of accounting policies**

(Pages 107 – 112)

Attached Documents:

ACARAC (01–19) Paper 12 Accounting policies annual review

## **12 Finance Update**

(Pages 113 – 127)

Attached Documents:

ACARAC (01–19) Paper 13 Finance update

ACARAC (01–19) Paper 13 Finance Update–Annex 2 – Letter to the  
Commissioner 2019.01.14

ACARAC (01–19) Paper 13 Finance Update – Annex 3 – Letter to FC – Jan  
2019

## **13 Departure Summary**

(Page 128)

Attached Documents:

ACARAC (01–19) Paper 14 Departure Summary

## **14 Information Breaches – oral item**

## **15 Forward Work Programme**

(Pages 129 – 133)

Attached Documents:

ACARAC (01–19) Paper 15 FWP